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PTO/SB/17 (01-06)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

180.00

Complete if Known

Application Number 10/697,554
Filing Date October 31, 2003
First Named Inventor KARL JOHNSON
Examiner Name Phong H. Nguyen
Art Unit 3724
Attorney Docket No. 081776-0306363

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify):
☒ Deposit Account Deposit Account Number: 033975 Deposit Account Name: PILLSBURY WINTHROP SHAW PITTMAN LLP
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)
☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

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FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180
Total Claims		
- 20 or HP =	x	=
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims		
- 3 or HP =	x	=
HP = highest number of independent claims paid for, if greater than 3.		

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets - 100 = Extra Sheets / 50 = Number of each additional 50 or fraction thereof Fee (\$)

250.00 = 0.00

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement

Fees Paid (\$)

180.00

SUBMITTED BY

Signature Benjamin L. Kiersz Registration No. 51875 Telephone 703.770.7714
Name (Print/Type) Benjamin Kiersz Date February 28, 2007

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket Number: 081776-0306363

Client Reference: SLB-3001



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

KARL JOHNSON, et al.

Group Art Unit: 3724

Application No.: 10/697,554

Examiner: Phong H. Nguyen

Filed: October 31, 2003

Confirmation No.: 8401

For: METAL DEMOLITION SHEARS WITH INDEXABLE, INTEGRATED WEAR
PLATE/PIERCING TIP

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO-1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. Applicant respectfully requests the Examiner return an initialed copy of the enclosed Form PTO-1449 to Applicant with the next Office communication to indicate that the references have been considered, per MPEP § 609.

This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.

Please charge Deposit Account 033975 in the amount of \$180.00 in payment of the fee under 37 CFR 1.17(p). Please credit or debit Deposit Account 033975 as needed to ensure consideration of the disclosed information.

Respectfully Submitted,

PILLSBURY WINTHROP SHAW PITTMAN LLP

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01 FC:1806 180.00 DA



Atty. Dkt. No.	M#	Client Ref.
081176	0306363	SLB-3001
Applicant: JOHNSON et al.		
Appln. No. 10/697,554		
Filing Date: October 31, 2003		
Examiner: Phong H. Nguyen		Group Art Unit: 3724

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**

Date: February 12, 2007

Page 1 of 2

U.S. PATENT DOCUMENTS

Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	AR	7,044,037 B2	05/2006	COSSETTE et al.	83	13	
	BR	6,994,284 B1	02/2006	RAMUN	241	266	
	CR	6,926,217 B1	08/2005	LABOUNTY et al.	241	266	
	DR	6,202,308 B1	05/2001	RAMUN	30	134	
	ER	6,119,970	09/2000	LABOUNTY et al.	241	101.73	
	FR	6,061,911	05/2000	LABOUNTY et al.	30	134	
	GR	5,894,666	04/1999	HRUSCH	30	134	
	HR	5,671,892	09/1997	MORIKAWA et al.	241	101.73	
	IR	5,669,141	09/1997	MORIKAWA et al.	30	134	
	JR	5,992,023	11/1999	SEDERBERG et al.	30	134	
	KR	5,940,971	08/1999	RAMUN	30	134	
	LR	5,923,958	07/1999	CHOU	438	118	
	MR	5,531,007	07/1996	LABOUNTY	30	134	
	NR	5,474,242	12/1995	RAFN	241	101.71	
	OR	5,339,525	08/1994	MORIKAWA	30	134	

FOREIGN PATENT DOCUMENTS

		Document Number	Date MM/YYYY	Country	Inventor Name	English Abstract		Translation Ready Available	
						Enclosed	No	Enclosed	No
	PR								
	QR								
	RR								
	SR								
	TR								
	UR								
	VR								
	WR								
	XR								
	YR								
	ZR								

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

	AAR			
	BBR			
	CCR			
	DDR			

Examiner	Date Considered:
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

FORM PTO-1449 (modified) To: U.S. Department of Commerce (PW FORM PAT-1449) Patent and Trademark Office				Atty. Dkt. No.	M#	Client Ref.	
				081776	0306363	SLB-3001	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant: JOHNSON et al.			
				Appln. No. 10/697,554			
				Filing Date: October 31, 2003			
				Examiner: Phong H. Nguyen		Group Art Unit: 3724	
Date: February 28, 2007 Page <u>2</u> of <u>2</u>							
U.S. PATENT DOCUMENTS							
Examiner's Initials*		Document Number	Date MM/YYYY	Name (Family Name of First Inventor)	Class	Sub Class	Filing Date (if appropriate)
	EER	5,187,868	02/1993	HALL	30	134	
	FFR	4,897,921	02/1990	RAMUN	30	134	
	GGR	4,686,767	08/1987	RAMUN et al.	30	134	
	HHR	4,670,983	06/1987	RAMUN et al.	30	134	
	IIR	2006/0131454 A1	06/2006	RAMUN et al.	241	101.73	
	JJR	2006/0086848 A1	04/2006	RAMUN	241	101.73	
	KKR	2005/0199758 A1	09/2005	RAMUN	241	266	
	LLR	2005/0091852 A1	05/2005	JOHNSON et al.	30	134	
	MMF						
	NNR						
	OOF						
	PPR						
	QQR						
	RRR						
	SSR						
	TTR						
	UUR						
	VVR						
FOREIGN PATENT DOCUMENTS						English Abstract	
		Document Number	Date MM/YYYY	Country	Inventor Name	Translation Readily Available	
						Enclosed	No
	WW						
	XXR						
	YYR						
	ZZR						
	AAA						
	BBB						
	CCC						
	DDD						
OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)							
	EEE						
	FFF						
	GGG						
	HHH						
Examiner					Date Considered:		
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							